

Criminal Case Cover Sheet**U.S. District Court - District of Massachusetts****Place of Offense:** _____ **Category No.** I **Investigating Agency** FBI**City** Framingham / Cambridge**Related Case Information:****County** Middlesex

Superseding Ind./ Inf. _____ Case No. _____

Same Defendant X New Defendant _____Magistrate Judge Case Number 21-7174-JCB

Search Warrant Case Number _____


R 20/R 40 from District of _____

Defendant Information:Defendant Name Avtar Singh Dhillon Juvenile: ☐ Yes ☒ NoIs this person an attorney and/or a member of any state/federal bar: ☐ Yes ☒ No

Alias Name _____

Address (City & State) Long Beach, CABirth date (Yr only): 1961 SSN (last4#): _____ Sex M Race: _____ Nationality: Canadian**Defense Counsel if known:** George Vien, Esq. Address Donnelly, Conroy & Gelhaar, LLP**Bar Number** _____ 260 Franklin Street Suite 1600
Boston, MA 02110**U.S. Attorney Information:****AUSA** James R. (JR) Drabick Bar Number if applicable 667460**Interpreter:** ☐ Yes ☒ No List language and/or dialect: _____**Victims:** ☐ Yes ☒ No If yes, are there multiple crime victims under 18 USC§3771(d)(2) ☐ Yes ☐ No**Matter to be SEALED:** ☐ Yes ☒ No☐ Warrant Requested ☒ Regular Process ☐ In Custody**Location Status:****Arrest Date** 08/04/2021☐ Already in Federal Custody as of _____ in _____☐ Already in State Custody at _____ ☐ Serving Sentence ☐ Awaiting Trial☒ On Pretrial Release: Ordered by: Magistrate Judge Pedro Castillo on 8/4/2021**Charging Document:** ☐ Complaint ☒ Information ☐ Indictment**Total # of Counts:** ☐ Petty _____ ☐ Misdemeanor _____ ☐ Felony 3

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☒ I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.Date: 09/30/2022Signature of AUSA: 

District Court Case Number (To be filled in by deputy clerk): _____

Name of Defendant _____

U.S.C. Citations

	<u>Index Key/Code</u>	<u>Description of Offense Charged</u>	<u>Count Numbers</u>
Set 1	<u>15 USC §§ 78p & 78ff</u>	<u>Willful Failure to Disclose Stock Sales (17 C.F.R. § 240.16a-3(a))</u>	<u>1</u>
Set 2	<u>15 USC §§ 77e and 77x</u>	<u>Sale of Unregistered Securities; Aiding & Abetting</u>	<u>2</u>
Set 3	<u>18 USC § 371</u>	<u>Touting Compensation Nondisclosure Conspiracy</u>	<u>3</u>
Set 4	_____	_____	_____
Set 5	_____	_____	_____
Set 6	_____	_____	_____
Set 7	_____	_____	_____
Set 8	_____	_____	_____
Set 9	_____	_____	_____
Set 10	_____	_____	_____
Set 11	_____	_____	_____
Set 12	_____	_____	_____
Set 13	_____	_____	_____
Set 14	_____	_____	_____
Set 15	_____	_____	_____

ADDITIONAL INFORMATION:Also related to miscellaneous sealed matter 21-mc-91063-NMG.